

# An Analysis of Dialogue Act Sequence Similarity Across Multiple Domains

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## Introduction

- This paper presents an analysis of how dialogue act sequences vary across different datasets in order to anticipate the potential degradation in the performance of dialogue models during domain adaptation.
- Machine learning models perform poorly when exposed to domain shifts, distributional differences between source and target datasets.
- Dialogue modeling systems not only analyze the content of the utterance, but also the context of neighboring dialogue acts to track conversational state.
- Discourse is often represented as a sequence of dialogue acts (DAs).
- In dialogue models that rely on the context of utterances, we hypothesize that differences in DA patterns will affect model performance.
- Example applications include situational-based dialogue management systems[1], semi-automated negotiation[2], and dynamic dialogue selection[3].
- We analyze the similarity of the dialogue acts across eight different datasets: SwDA, AMI (DialSum), GitHub, Hate Speech, Teams, Diplomacy Betrayal, SAMsum, and Military (Army).

Table I: Categorization of Datasets

Category	Datasets
Teams, GitHub, Army	Collaboration
SAMsum, Hate Speech, GitHub	Social Media
SwDA	Discussion (informal/non-goal-oriented)
Diplomacy, Army	Strategic planning
Diplomacy, Teams	Gameplay
AMI, GitHub	Discussion (formal/goal-oriented)

## References

- [1]Lee, C., Jung, S., Eun, J., Jeong, M., & Lee, G. G. (2006, May). A situation-based dialogue management using dialogue examples. In *2006 IEEE International Conference on Acoustics Speech and Signal Processing Proceedings* (Vol. 1, pp. 1-1). IEEE.
- [2]Zhao, R., Romero, O. J., & Rudnicky, A. (2018, November). SOGO: a social intelligent negotiation dialogue system. In *Proceedings of the 18th International Conference on intelligent virtual agents* (pp. 239-246).
- [3]Ryan, J. O., Mateas, M., & Wardrip-Fruin, N. (2016, August). A Lightweight Videogame Dialogue Manager. In *DIGRA/FDG*.

## Method and Analysis

A DA classifier was used to extract sequences of dialogue acts from sets of dialogues. We use the following methods to analyze the similarity:

- Ngram Frequency distribution analysis to identify similar ngrams.
- We introduce a similarity measure for predicting generalizability performance: the percentage of zero Hamming distance subsequences of fixed window size (4 & 5) drawn from different datasets.
- We pass the sequence of DAs through the Doc2Vec to learn embeddings representing the discourse of the dialogues. We then apply the following methods to analyze the similarity between the embeddings.
  - Discriminative Distance: We train a binary classifier to identify the most confusing pairs. Lower the accuracy more the similarity between datasets. We apply both linear and non-linear Kernels.
  - Cosine Similarity.

Figure I: Distribution of Embeddings on 2D space.

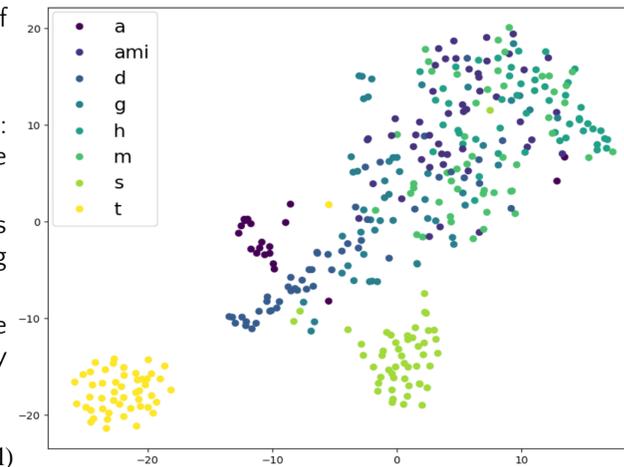


Figure II: Classification Accuracy (Linear Kernel)

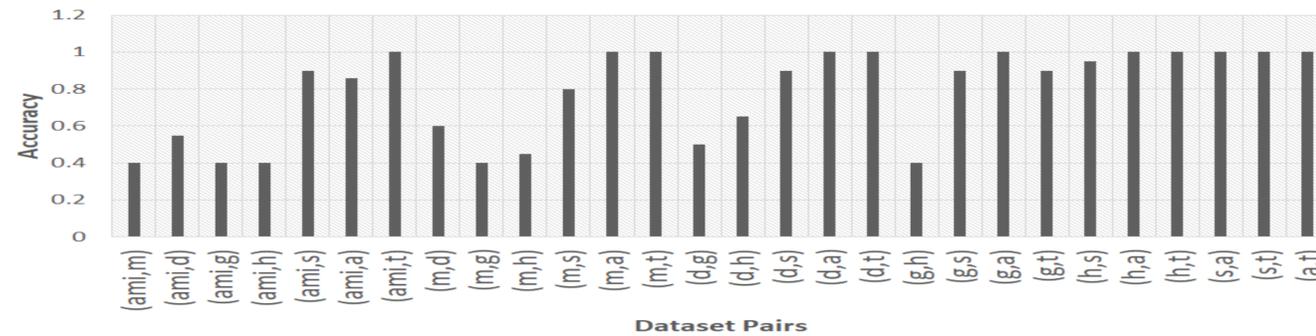
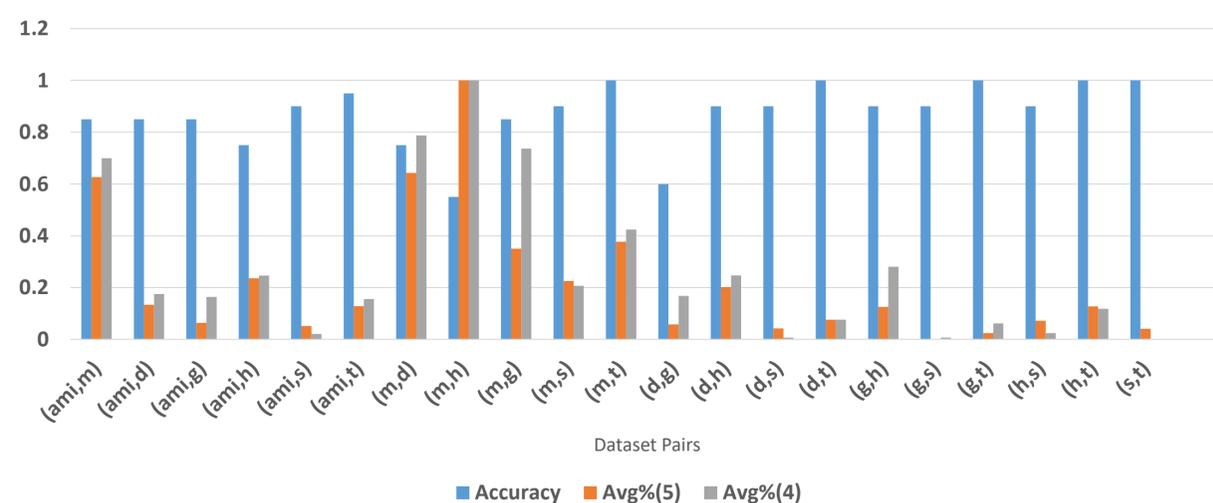


Figure III: Classification Accuracy (Non-Linear Kernel) VS Hamming distance



Key:  
ami:AMI  
g:GitHub  
d:Diplomacy  
t:Teams  
s:SwDA  
m:SAMSum  
h: Hate Speech  
a:ARMY

## Ngram Frequency Analysis:

- Social media dialogues have similar Ngram frequency distribution with Uninterpretable (%) being the most frequent tag.
- Yes-No question (qy) is one of the major categories in strategic dialogues.
- Both formal and informal discussion dialogues, SwDA and AMI have statement (sd), opinion (sv), and acknowledgment (b) as frequently occurring categories.
- In addition to sv and sd, the most prominent unigram in GitHub is Action-directive (ad).

Table II: Cosine Similarity

Dataset	Most Similar	2nd Most Similar	Least Similar
Army(a)	h(0.4528)	m(0.4494)	s(-0.0526)
AMI(ami)	h(0.4043)	m(0.2880)	s(0.0966)
Diplomacy(d)	h(0.4511)	m(0.4194)	t(-0.0126)
GitHub(g)	h(0.2753)	d(0.2534)	a(0.0285)
Hate(h)	m(0.5281)	a(0.4578)	t(0.1062)
SAMSum(m)	h(0.5352)	a(0.4606)	s(0.0409)
SwDA(s)	h(0.1092)	ami(0.1034)	t(-0.0912)
Teams(t)	ami(0.1464)	h(0.1033)	s(-0.0924)

## Conclusion

- Dialogue act sequences can differ greatly when collected from different communication settings.
- The discourse is clearly dependent on the nature and purpose of the conversation.
- Among all the domains used for the analysis, social media datasets exhibited the highest degree of similarity with one another.
- Models learned on non-goal oriented discussion do not show potential to generalize well to goal-oriented task specific discussions, and vice versa.
- One of the most widely used datasets, SwDA, does not exhibit discourse patterns similar to the other datasets used in our analysis.
- Formal discussions seemed to follow a communication pattern that overlaps with other datasets, and the models learned on these datasets showed a potential to generalize better.
- It could be problematic to assume that machine learning models trained on one type of discourse will generalize well to other settings.