# Matics Software Suite: New Tools for Evaluation and Data Exploration

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#### Abstract

Matics is a free and open-source software suite for exploring annotated data and evaluation results. It proposes a dataframe data model allowing the intuitive exploration of data characteristics and evaluation results and provides support for graphing the values and running appropriate statistical tests. The tools already run on several Natural Language Processing tasks and standard annotation formats, and are under on-going development.

#### 1. Introduction

The evaluation of data processing systems is a cornerstone for developers, researchers and users. The evaluation allows positioning a technology with regard to the competition, but also allows assessing the performance of the system in different contexts. Through quantified scores, it orientates the development or guides the user towards the most suitable product. As evidenced by the popularity of evaluation competitions such as the VarDial evaluation campaigns (Malmasi et al., 2016), the Interspeech challenges (Schuller et al., 2017), the CoNLL Shared Tasks (Oepen et al., 2017) or the Evalita evaluation campaign (Pierpaolo et al., 2017), there is a constant need for the rapidly-evolving Natural Language Processing (NLP) technologies to position themselves.

The evaluation of text, speech or multimedia data processing systems relies on large amounts of usually annotated data. Numerous works propose interfaces or frameworks to build, explore and visualize corpora of annotated data. ANVIL (Kipp, 2010) for instance proposes a wellconceived database-oriented annotation tool where the user can add temporally or spatially grounded elements. The annotated data can be exported to perform statistical analyses in several external systems. UAM (O'Donnell, 2008) emphasizes the project management aspects in a multi-layer text annotation task and offers dedicated statistical analysis tools. Headtalk/Handtalk (Knight et al., 2009) explores the annotation and visualization of multimodal corpora, for the purpose of building datasets suitable for statistic analysis.

Some propose a framework able to explore data in a specific context. (Schmitt et al., 2010) for instance is dialogueoriented, and presents a multi-level interface including dialog selection from a database, display of the selected dialog, and application and evaluation of integrated prediction models for various characteristics (task completion, anger level, age and gender predictions).

All these systems are mainly dedicated to annotation tasks and/or to specific NLP applications. They offer data exploration features, and can either produce data formatted to perform an evaluation in an external system, or offer statistical analysis specialized for testing coherency or measuring advance on a specific NLP task.

The LNE (laboratoire national de métrologie et d'essais -French national metrology and testing laboratory) has conducted many evaluations of data-processing systems in projects such as Quaero (Galibert et al., 2011), ETAPE (Gravier et al., 2012), MAURDOR (Brunessaux et al., 2014), PEA-TRAD or REPERE (Giraudel et al., 2012; Galibert and Kahn, 2013). These evaluations concerned various NLP tasks and systems (speech recognition, speaker diarization, speaker identification, named entities recognition, optical character recognition, etc.), which implied dealing with different system output formats, annotation guides, and comparison metrics. A number of commonalities appeared through time in the process of such evaluations, in the pre-processing and exploration of the data and the computation and viewing of statistical scores, hence the need for a reusable and general framework to carry out the evaluations.

One aspect we are especially interested in is to be able to assess the representativity of the different sub-corpora created (train, development, test) and to identify factors of influence on the performance of the system. Such an analysis is usually done through a mix of independent evaluation tools, ad-hoc data extraction scripts and generic analysis engines (such as R), or dedicated to a specific NLP task (such as the NIST Scoring Toolkit SCTK (NIST, 2015) for speech recognition). This works perfectly fine for evaluations on specific applications, or on databases of average size; this becomes somewhat burdensome when performing large scale evaluations on a great panel of application types.

We thus decided to build a new tool to provide a unified response to our evaluation needs by first testing some data handling and UI prototype in a pre-project called LNE-Visu, presented in a demonstration at the French JEP-TAL-Recital joint conference in 2016 (Bernard et al., 2016).

Then, taking the results into account, we started an internal project to build the Matics software suite, to implement the vision we have of such an exploration interface. It integrates evaluation, exploration at varying granularity, graphical representations and statistical testing. All these aspects are presented in this paper.

## 2. Matics at a Glance

## 2.1. General Description

Matics comprises two interconnected softwares:

- DATOMATIC: It is designed for the importation and database indexation of corpora and files. The data can be made up of reference data (e. g. labeled by an expert) and hypothesis data (output of an NLP system, automatically labeled). Source data (i. e. unlabeled and/or unstructured) can also be included, such as plain text or audio. The data can be browsed through via search features, and visualized according to their types (text, video, audio and the related annotations). The software offers several descriptive statistics (signal duration, number of words/speakers/entities, file or language distribution...). Multi-criteria sub-selections on the corpora can be performed. The resulting corpora can be locally exported to be processed in Evalomatic.
- EVALOMATIC: Evalomatic works exclusively on Datomatic formatted databases. Evalomatic allows running evaluations, for example comparisons between reference and hypothesis data for speech transcription tasks. The reference and hypothesis data (as well as the evaluation results) are structured as *dataframes*, which allows performing several manipulations on the data for an evaluation at different levels of granularity. The software offers several standard comparison metrics (e. g. F-measure, Slot Error Rate SER), some of which specifically designed for NLP (e. g. Word Error Rate WER). Statistical functions are provided (e. g. t-tests or Anova). Data and results can be plotted on graphs (e. g. DET plot, bar chart).

Matics is an on-going work, initially developed to address our team's evaluation needs. The decision of publicly releasing it is motivated by our wish to contribute to a thriving development of NLP technologies, and artificially intelligent systems on the whole. In its earlier stages, the software suite presents some limitations: we do not guarantee it is fully bug-free, many features are left to add, and as of now the interface only offers French. Evaluation being our core activity, the development of Matics is one of our main priorities, and there are, and will be, constant updates.

## 2.2. Availability

The Matics suite is free and open-source. It can be down-loaded at: https://www.lne.fr/logiciels/lne-matics.

#### 2.3. Supported NLP Tasks

As of now, Matics allows performing evaluations on NLP systems for these tasks:

- Automatic Speech Recognition (ASR)
- Named Entity Recognition (NER)
- Tokenization
- Lemmatization
- Speaker verification

Note that Matics supports Latin and non Latin alphabet languages (Chinese, Arabic, Russian...).

#### 2.4. Supported External Formats

Matics supports several standard structured formats, like XML (e. g. Transcriber) or the Tab Delimited Format of XTrans. It also supports annotation formats such as:

- The stm and ctm file formats (in the sclite() program developed by the NIST for the evaluation of speech recognizers);
- The CoNLL-X format (Tjong Kim Sang and De Meulder, 2003);
- MUC-7 (Chinchor and Robinson, 1997);
- QUAERO (Galibert et al., 2011).

As of now, unsupported formats need to be externally transformed into an supported format so as to be loadable in Datomatic, but supporting new formats for an already handled task requires a reasonable amount of effort.

#### 2.5. Implemented Metrics

- ASR: WER (Word Error Rate); CER (Character Error Rate; NCE (Normalised Cross-Entropy)
- NER: SER (Slot Error Rate); ETER (Entity Tree Error Rate)
- Speaker verification: EER (Equal Error Rate); C<sub>det</sub> (Cost of DETection); C<sub>llr</sub> (Cost Log-Likelihood Ratio)
- General metrics: F-measure; Recall; Precision

These metrics cover the evaluation of NLP applications described hereinbefore. New metrics will be added along with the expansion of the NLP tasks list.

#### 2.6. Statistical Functions

A toolbox of several standard statistical functions is available. The result of these functions can be used as new columns in the dataframe, meaning that they can be used as a test statistic in the evaluation.

- Descriptive statistics:
  - Gaussian statistics: mean, standard deviation, skewness, kurtosis
  - Distributional statistics: min, max, median, first and last quartile, first and last decile, mode
- Significance tests on paired experiments:
  - Gaussian : paired t-test
  - Non parametric: Wilcoxon
- Correlation tests:
  - Pearson linear correlation
  - Rank correlation (Kendall, Spearman)
- Anova

## 3. Matics Capabilities and Concepts

## 3.1. Data Management

## 3.1.1. Dataframe

The main underlying concept used in the Evalomatic interface is the *Dataframe*. It is a table roughly equivalent to a single SQL table or a R data frame. Each column has a twopart name: a group name and a column name. For instance the "speaker" group may have the columns "speaker.name", "speaker.gender" and "speaker.accent". Each column has a type that is built from four traits:

- 1. The column may contain *labels* or *values*: labels are names into categories (file name, speaker name, gender, turn id...) while values are actual values (time, score, word, text segment...).
- 2. The datatype of the column content can be string or numeric (integer for labels, floating-point for values).
- 3. The column can store the initial values/labels, or values computed from other columns through expressions.
- 4. (optional) The column can have a sub-type that tells the interface how to show or interpret the values. Currently defined sub-types are name, time, p-value (for statistical tests) and correlation (for correlation tests).

Non-expression columns actually store data. A stored value often span multiple lines. For instance, a speech transcription evaluation dataframe has one line per aligned word. In that dataframe, the turn start and end times span all the words of that turn. That spanned information is explicitly stored in the dataframe. In addition, some cells can be empty, which is a different status from zero or an empty string.

#### 3.1.2. Granularity and Foldable Categories

A key capability of that dataframe structure is a variable granularity. Lines can be folded together, and columns optionally have a folding method, called reduce operation, which defines how the value for the folded lines is computed. A number of reduce operations are already available: min, max, mean, median, sum for numeric values, concatenation for string values. Expression columns either include a reduce operation, and then compute their value at the lowest possible granularity then apply the operation, or do not include a reduce operation and compute the value from the reduced values of the other columns.

To illustrate that capability, two examples can be given. Computing the WER in speech transcription is done by dividing the count of errors by the number of words in the reference. The WER is then an expression column without reduce operation which divides the value in the error column by the value in the reference words count column. These two source columns on the other hand have a "sum" reduce operation to accumulate the count of errors and words at the required granularity.

In contrast, computing the total speech time is done from the speech duration column which is an expression defined as turn end time minus turn start time, with a sum reduce operation. In that configuration the durations are computed at the turn level and summed together, giving the total turn time. The spans of the values in the start and end time columns are what sets the duration computation granularity.

## 3.2. Evaluation capabilities

As detailed in Sections 2.3. and 2.5., Matics can deal with the evaluation of several NLP tasks and implements the corresponding metrics. All the input formats are converted to reference or hypothesis dataframes which are then used to build an evaluation dataframe with a complete alignment of the texts.

The ASR evaluation subsystem, for example, is able to work on the word or character level, and take the case into account when requested to. It uses unicode for multilingual support.

The final evaluation dataframe contains the full alignment and the error counts per type, with computed columns added to provide WER/CER (Crossover Error Rate) and NCE (Normalized Cross Entropy) at any chosen granularity.

## 3.3. Statistical analysis capabilities

One aim of the interface is to give a fast access to statistical testing capabilities. The list of the currently available functions has been presented in Section 2.6.. A uniform, drag'n'drop based interface is proposed to select the data columns the testing applies to.

In the case of the standard descriptive statistics on a value, for example, the user selects a value column to compute the statistic on (for instance WER) and a label column for the granularity (for instance speech turn). They can also optionally select a factor column as a factor (for instance "System" – the NLP system of which we evaluate the output results) to compute a series of statistics instead of a global one. The computation of these statistics allows the user to summarize the distribution of the values and get an idea of how gaussian and symmetrical they are.

The second available analysis is a very common one: significance of a difference for paired values. The user selects a value (e. g. WER), a pairing/granularity (speech turn) and the factor to analyze (system) and the interface computes, for each system pair, the p-value, e. g. the probability that the WERs are in practice identical and the differences only randomness. It can use either a Student paired t-test if the user considers the values gaussian (which is rare), or a less powerful but more robust Wilcoxon paired-difference test otherwise.

The third analysis is a correlation test between two value columns to, for instance, check whether the WER is correlated with the turn duration. The user selects the values to compare and the ganularity. The interface then computes three standard correlation values: Pearson's r (linear correlation), Kendall's  $\tau$  and Spearman's  $\rho$  (rank correlations).

Finally a fourth analysis method is implemented: the Anova. It is used to measure the importance of different factors on a result, and measures how much of the variance can be explained by each factor. It should be available by the time the final papers are due.

## 4. User Interface

This section presents some views of the user interface offered by Matics.

#### 4.1. Dataframe views

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global.system	wer_ci.wer_ci	wer_ci.nce	
SYSTEM1	18,5244	0,126171	
SYSTEM2	38,3177		

Figure 1: Evaluation dataframe with every line folded but the system name. The WER is updated and represents the global score for all the files of the system.

Dataframe Graphiques Statistigues				
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global.file	global.system	wer_ci.wer_ci		
BFMTV_CultureEtVous_2012-12-13_064700	SYSTEM1	29,9674		
BFMTV_RuthElkrief_2012-09-20_190040		11,464		
BFMTV_CultureEtVous_2012-10-08_064700		25,5411		
BFMTV_CultureEtVous_2012-12-17_064700		32,4324		
BFMTV_RuthElkrief_2012-09-19_190040		17,7485		
LCP_PileEtFace_2012-12-08_012500	SYSTEM2	29,805		
LCP_PileEtFace_2012-11-30_012500		29,1883		
LCP_PileEtFace_2012-10-26_012500		16,8761		
LCP_TopQuestions_2013-01-30_232600		18,5231		
LCP_PileEtFace_2012-07-05_192700		19,8375		

Figure 2: Evaluation dataframe for the comparison of two systems (names have been blurred out). Every line folded but the system and file name. wer\_ci: WER case independent.

The main interaction is done with the dataframe. A dataframe has views on it, where each view has its own state. The user has control over which columns are visible and in which order they appear. The display granularity is implicitely controlled by the visible columns: consecutive lines with identical labels in all the label columns are collapsed. If every column is hidden except for the system name and the WER, then the per-system WER is visible, as can be seen in Figure 1. When the file name column is then shown, the per-file score then becomes visible, as in Figure 2. The dataframe can also be sorted on the columns, giving the possibility to get a per-speaker score in a dataframe originally generated with lines in time order.

Filtering is also possible, to view a subset of the lines. The active granularity and filtering is taken into account when doing a graph, while only the filtering is taken into account for statistical tests and the granularity is requested explicitly.

## 4.2. Data visualization

The interface gives the capability to link to source data (audio, video, etc.) and visualize the annotations present in a dataframe with an appropriate alignment to the original signal.

The audio display and listening is currently available. The interface allows listening to the signal at different levels: the whole signal, per speaker, per sentences, or per words. The segmentation follows the timestamps defined in the corresponding annotation file. The Figure 3 shows a screen capture of the interface.

## 4.3. Statistical Functions Selection

The selection form can be seen in Figure 4. The user can drag and drop between the column list at the bottom and the configurable fields in the middle.

## 4.4. Graphing

The other main capability of the interface is graphing data, to ease the visualization of data and results.

- Bar charts The histogram graphic category can plot any value. The basic histogram allows graphing of one or more value columns with one or more label columns on the x axis. This allows counting the number of different labels in one column, using another for the x axis (for instance counting the speakers in each show) with optionally a third used to color subparts of the histogram (gender for instance). An optional gaussian curve can be overlaid.
- Scatterplots The scatterplots can be created from two value columns with color and shape controlled from label columns. An example of scatterplot showing the lack of correlation between file speech duration in a file and the WER can be seen in Figure 5.
- Boxplots Visualisation of the distribution of the data, through quartile and decile. A same graph can show the boxes for different factors (file, system...).
- Detection Error Tradeoff (DET) curves For binary classification. The DET curves for several systems can be presented at once for visual comparison, with a visualization of EER and  $C_{det}$  decision thresholds. See an example Figure 6.

## 5. Conclusion

The Matics software suite offers a unified tool for the evaluation of NLP systems, through two independent tools: Datomatic and Evalomatic. Datomatic allows the manipulation, visualisation and sub-selection of hypothesis and reference corpora; evaluations can be conducted in Evalomatic, with metrics implemented for a range of NLP applications.

Developed by the LNE, specialized in the evaluation of NLP systems, Matics is free and open-source. While still in the development stage, the tool aims at providing a concrete and fully reusable solution for data exploration and evaluation. New features are expected to be implemented, and regular updates of the system will be offered according to the evolution of our evaluation activities.

For example, an expected upcoming feature is video synchronization with the annotation (for Datomatic). We are

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Figure 3: Audio signal and the associated transcription. A click on each token (one per rectangle in the center area) plays the corresponding audio segment.

Type de t	test	Nature of	the test								
Données non gaussiennes (Wilcoxon, non-paramétrique) <u>Non-gaussian data (Wilcoxon, non-parametric)</u> Données gaussiennes (Test de Student apparié, paramétrique) <u>Gaussian data (paired t-test, parametric)</u>											
Valeur à t Test st	tester : atistic		wer_ci.wer_ci								
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Facteur d'appariement : turn.id											
global	file	commer	its lang	system	channel						
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speaker	name	type	dialect	accent			Du	ration			
turn	id	start tim	e end time	raw text	pronounced text	normalized text	duree	anon			
wer_ci	id	ref_text	hyp_start_time	hyp_end_time	hyp_text	correct	subst	insert	delete	dele	

Figure 4: Statistical paired difference configuration interface. In blue (e. g. comments, lang, system): labels of the columns; in red (e. g. start time, end time): values of the columns. Labels in English have been added on the figure to translate the French items.



Figure 5: Scatterplot of WER vs. speech duration in a file

also concerned with a localization feature, to broaden out the system to the non-French speaking community. Although the interface vocabulary may be quite transparent to computer scientists and statisticians, that would be a strong requirement in terms of ergonomics. The localization process requires some modification at the core of the system that will be addressed soon.

A longer term perspective is to give the interface the capability to rewrite the different supported formats, and use that capability combined with statistical analysis possibilities to select representative subsets of data for train, development and test. This aspect, while quite out of the scope of evaluation, is also part of our mission of accompanying technology developers.

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Figure 6: DET curves for two simulated systems (named h1b and h1) with EER and C<sub>det</sub> decision thresholds.

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