A Pragmatic Approach for Classical Chinese Word Segmentation

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Abstract

Word segmentation, a fundamental technology for lots of downstream applications, plays a significant role in Natural Language Processing, especially for those languages without explicit delimiters, like Chinese, Korean, Japanese and etc. Basically, word segmentation for modern Chinese is worked out to a certain extent. Nevertheless, Classical Chinese is largely neglected, mainly owing to its obsoleteness. One of the biggest problems for the researches of Classical Chinese word segmentation (CCWS) is lacking in standard large-scale shareable marked-up corpora, for the fact that the most excellent approaches, solving word segmentation, are based on machine learning or statistical methods which need quality-assured marked-up corpora. In this paper, we propose a pragmatic approach founded on the difference of t-score (dts) and Baidu Baike (the largest Chinese-language encyclopedia like Wikipedia) in order to deal with CCWS without any marked-up corpus. We extract candidate words as well as their corresponding frequency from the Twenty-Five Histories (Twenty-Four Histories and Draft History of Qing) to build a lexicon, and conduct segmentation experiments with it. The F-Score of our approach on the whole evaluation data set is 76.84%. Compared with traditional collocation-based methods, ours makes the segmentation more accurate.

Keywords: Classical Chinese, Word Segmentation, Difference of T-score, Encyclopedia, Collocation

1. Introduction

Till now, great achievements have been made in modern Chinese word segmentation (CWS) while there is little progress in Classical Chinese word segmentation (CCWS) for the obsoleteness of Classical Chinese. However, it's worth studying it. As a fundamental technology, word segmentation is a prerequisite of making deep analyses on Classical Chinese literature. From the word frequency we can discover the transition of words which can explain some linguistic phenomena, historical facts, traditional folk cultures, social culture, geographical information and etc. Furthermore, we can extract entities like person, location, official title, date and so forth, to dig into the history and culture, rather than get superficial knowledge by basic search like string matching. Additionally, many downstream applications like knowledge graph and QA system need to be built from these entities. In recent years, many researchers have made analyses and explorations of unstructured or structured Classical Chinese literature (Xu, 2016; Ouyang, 2016; Liu et al., 2015; Lee and Wong, 2013; Li et al., 2012; Fang, Lo and Chinn, 2009).

According to the estimate of experts, the number of the existing Chinese ancient books is about from 80,000 to 100,000. More than 40,000 books (around 4.8 billion Chinese Characters) have been converted into digital version (Ouyang, 2016). However, there are few markedup corpora, let alone some of them being not shareable. Currently, the most known Classical Chinese corpora, which are marked up with POS (part of speech) tags and segmented into words, are Academia Sinica Ancient Chinese Corpus¹, Sheffield Corpus of Chinese² and CityU Treebank of Classical Chinese Poems³. Unfortunately, they are not enough to do a comprehensive analysis over a long period of history. And for the inconsistency of tagging and segmenting standards of the corpora, it's quite tough to aggregate them.

Building Classical Chinese corpus is much more expensive than building modern one. On the one hand, there are unique words and expressions in each dynasty, some of which only appear within a certain period of time and are no longer used in modern life. The meaning of words is changing along with time. Thus, comprehensive relevant knowledge is required to segment the sentence. On the other hand, the range of the Classical Chinese literature is generally from the end of the Spring and Autumn period through to the end of the Qing dynasty, nearly 3000 years. Only with considerable literature of each era being markedup, can we make an all-sided analysis on literatures over the long history by means of supervised approaches. Nonetheless, it's costly and inefficient to do so. For instance, it took Shi el al. (2010) 4 years to manually segment and annotate word POS of 25 literatures of Pre-Qin era.

In order to tackle these problems, we propose a pragmatic approach to create a lexicon, with which researchers are able to segment the Classical Chinese texts for other pertinent studies or get preprocessed corpus for creating a more accurate one efficiently. The basic idea behind our method is that firstly, we get the statistical information of all bigrams by iterating texts in the corpus; secondly, when we iterate the texts again, candidate words (collocation) as well as their frequency are extracted in accordance to the thought of integrating the difference of t-score with Baidu Baike.

The contributions of this paper are as follows:

- To the best of our knowledge, this is the first work that 1. combines encyclopedia and traditional statistical methods to construct lexicon for word segmentation.
- From what we can tell, this is the first work that 2. studies on CCWS throughout the whole history of Classical Chinese literature.
- Alleviate the problem of lacking in standard large-3. scale shareable marked-up corpora of Classical Chinese.
- 4. Comparison experiments are carried out to prove the effectiveness of our approach in CCWS.

The rest of this paper is organized as follows. Related work will be introduced in Section 2. Data we use will be explained in Section 3. Methodology and experiments will

¹ http://ancientchinese.sinica.edu.tw/c_intro.html

² https://hridigital.shef.ac.uk/scc/

³ http://classicalchinese.lt.cityu.edu.hk/

be introduced in Section 4 and Section 5 respectively. Finally, concluding remarks are given in Section 6.

2. Related Work

Up to now, numerous approaches of word segmentation have been proposed. These methods can be roughly classified as lexicon-based, statistically-based or neural network-based methods. Hybrid methods are not introduced here.

2.1 Lexicon-based approaches

The most popular lexicon-based algorithms are forward maximum matching method (FMM), and backward maximum matching method (BMM). The performance of these methods mostly depends on the coverage of the lexicon. Qiu and Huang (2008) proposed a heuristic hybrid CCWS method. Using Hanyu Da Cidian as a basic dictionary, segments the texts with BMM. For increasing the accuracy, they count the frequency of words that have already appeared, extract the words with high frequency and add new words to the word list. As a general-purpose dictionary, the limitation of Hanyu Da Cidian is rather apparent, like sparseness, data incompleteness and bias when it's utilized for CCWS over literature of different eras. Since there is no appropriative dictionary, researchers tend to combine lexicons with statistical methods instead of adopting lexicon-based approaches alone.

2.2 Statistically-based approaches

Statistically-based approaches can be further divided into labelling-based or collocation-based (corpus-based) methods.

Xue (2003) first proposed the character-based tagging approach, which treats word segmentation as a sequence tagging problem, assigning corresponding labels to the characters. The labels indicate the location of each character, beginning of, inside or end of a certain word. Xue's work leads to some subsequent researches (Peng, Feng and McCallum, 2004; Tseng et al., 2005) on integrating character labelling with statistical models like HMM, MEMMs, CRF and etc. Afterwards, Zhang and Clark (2007) took the word-level information into consideration, proposing a word-based CWS approach using a discriminative perceptron learning algorithm. The prerequisite of these kinds of solutions is high-quality marked-up corpora, which are hard to acquire. Therefore, at present, solving CCWS for specific ancient books or a specific period of time is the main trend (Shi, Li and Chen, 2010).

In terms of collocation, mutual information is the most used metric. As a concept of information theory, mutual information is used to measure the degree of association between two Chinese characters. The higher the mutual information is, the more related the two characters are. Sproat and Shih (1990) utilized mutual information to quantificationally describe how strongly associated of two arbitrary characters, found upon which bigrams are extracted automatically from raw corpus. Sun, Shen and Benjamin (1998) introduced the difference of t-score (dts) between Chinese characters, proposing an automatic segmentation algorithm based on mutual information and dts. In recent two decades, a number of scholars have focused on Chinese word extraction for solving CWS or CCWS by dint of various association metrics or a hybrid

model of several metrics (Chang and Su, 1997; Chen and Ma, 2002; Luo and Sun, 2003; Ma and Chen, 2003; Feng et al., 2004; Tang et al., 2009; Zhang et al., 2009; Zhang et al., 2010; Duan, Han and Song, 2012; Zhang et al., 2012; Mei et al., 2015; Shen, Kawahara and Kurohashi, 2016). However, one big problem for collocation-based methods is that the performance of certain systems will heavily depend on the thresholds setting, because the thresholds for such association metrics are always set heuristically or empirically to gain high performance, which means we have to adjust thresholds with the change of applications or domains with extra effort. The approach we propose in this paper cuts the Gordian knot by integrating encyclopedia with traditional association metrics, with which there is no need setting threshold for deciding whether to discard a potential word or not.

2.3 Neural network-based approaches

With the rise of deep learning, neural models have been widely used for NLP tasks to avoid the task-specific feature engineering. Zheng, Chen and Xu (2013) performed CWS and POS tagging by adapting a general neural network architecture for sequence labeling. Pei, Ge and Chang (2014) improved upon Zheng's work by modeling the interactions between local context and previous tag. Chen et al. (2015a) proposed a gated recursive neural network, modeling the feature combinations of context characters. With the purpose of alleviating the limitation of the size of context window, Chen et al. (2015b) utilized a LSTM architecture to capture potential long-distance dependencies. Cai and Zhao (2016) proposed a novel neural framework which thoroughly eliminates context windows and can utilize complete segmentation history.

As far as we know, neural network-based methods have not been used to tackle CCWS yet. From our perspective, the diversity of Classical Chinese literature and the extreme lack of marked-up corpora account for it.

Fortunately, only with a certain size of raw corpus and a certain number of online encyclopedia documents, can we get acceptable result over CCWS. In Section 3 and Section 4, we will explain the corpus as well as other resources we use, and the concrete process how to segment texts with the lexicon we build in detail.

3. Corpus and resources

3.1 Raw corpus

We choose the *Twenty-Four Histories* and the *Draft History of Qing* as raw corpus, totally containing 3742 volumes and around 31 million characters. They are the Chinese official historical books, covering a period from 3000 BC to the end of Qing Dynasty (1912 AD), considered as one of the most important sources on Chinese history and culture. The books mostly record pertinent activities of emperors and politicians. Additionally, the content covers economy, politics, culture, art, astronomy, law, geography, science, technology and etc.

3.2 Evaluation data set

Owing to the fact that there is no standard datasets for CCWS and the performance of our approach can only be fully demonstrated by testing on literature of different eras, we randomly select a certain number (in proportion to the size of each book) of texts from each book and segment the sentences manually. The test data contains 32689 characters in total, covering every aspect of the content.

3.3 Encyclopedia documents

10,143,321 documents were crawled from Baidu Baike⁴ which is the largest Chinese-language online encyclopedia. For now, there are more than 15 million pages and more than 6 million people get involved in this project. The encyclopedia is a huge external knowledge resource, from which we can fetch concepts and entities. Like what we stated above, Classical Chinese is nearly obsolete, which means new content will not be generated. At the same time, the encyclopedia will gradually be complete in terms of knowledge about Classical Chinese literature. The title of each document can be considered as a word or a combination of words. That's why we attempt to integrate traditional statistical ways with Baidu Baike to extract words from Classical Chinese literature.

What we just need is the title of each entry. However, a dictionary with all the titles will cause low efficiency or memory problem. We remove some of them to shrink the volume. Firstly, the titles, which contain more than 8 or less than 2 characters, or contain non-Chinese character (like digits and punctuations), are gotten rid of. Then, we get 6,610,492 titles left. Secondly, remove those that contain high frequency (greater than 1000) prefix or suffix, some of which are listed in Table 1. The count of distinct affixes within corresponding frequency range is listed in Table 2. Finally, there are 4,324,035 distinct titles left.

Affixes	Frequency
酒店	68480
中国	31220
中学	30550
穿越	19361
社区	18696

Table 1: Frequency of some prefix or suffix

Frequency range	10-100	100-500	500-1000	>1000
Count	21701	8779	1540	992

Table 2: Count of prefix or suffix within a certain range

3.4 Official titles from CBDB

We extract Chinese ancient official titles from CBDB⁵ (China Biographical Database Project), a project of Harvard University. As supplementary, 21152 distinct official titles that meet the requirements of the above preprocessing, are merged together with titles from Baidu Baike encyclopedia.

4. Methodology

Our approach mainly focuses on the creation of lexicon. Not only the vocabulary but also its corresponding frequency in the raw corpus are extracted. With such a lexicon, maximum probability, the commonly used algorithm for word segmentation, is applied to CCWS in our method.

4.1 Lexicon creation

The general procedure is illustrated in Figure 1. From the first iteration over texts in the corpus, frequency of each

Chinese character bigram is recorded. In the light of Aho-Corasick Automaton, a temporary dictionary is built from the titles extracted from Baidu Baike and CBDB, with which we can rapidly get the location of each word in the sentence. Before explaining the following procedures, we will give the definitions of t-score and dts first.



Figure 1: Flow diagram of lexicon creation.

Given a Chinese character string 'xyz', the t-score of the character y relevant to x and z is defined as:

$$ts_{x,z}(y) = \frac{p(z|y) - p(y|x)}{\sqrt{var(p(z|y)) + var(p(y|x)))}}$$

Where p(y|x) is the conditional probability of y given x, and p(z|y), of z given y, and var(p(y|x)), var(p(z|y)) are variances of p(y|x) and of p(z|y) respectively.

Given a Chinese character string 'vxyw', the dts between characters x and y is defined as:

$$dts(x:y) = ts_{v,y}(x) - ts_{x,w}(y)$$

If dts(x:y) > 0 then it tends to be bound. If dts(x:y) < 0 then it tends to be separated. More details about t-score and dts, please refers to the work of Sun et al. (1998) and Church et al. (1991).

The main processes of the second iteration are as follows: **Step 1:** Segment one sentence into sub-sentences (subsentence here refers to a Chinese character string without any punctuation). Then, iterate over the sub-sentences.

Step 2: Calculate dts of every bigram of the sub-sentence. **Step 3:** Look up the dictionary and list all words of this sub-sentence.

Step 4: Skip over bigram-words. For words that contain more than 3 characters, add them to lexicon directly (if the word exists, just increase corresponding frequency,

similarly hereinafter). For words that exactly contain 3 characters, we need to consider about three situations. If the last character of previous word is same as first character of current word and next word (bigram-word) is same as the last two characters of current word, add previous word and next word to lexicon; if the previous word is same as the first two characters of current word and the first character of next word is same as last character of current word, add previous word and next word to lexicon; otherwise, add current word to lexicon. Overlap is not allowed in this step, which means each character can only belong to a specific word.

Step 5: Find out the position with largest dts among the left characters of the sub-sentence. Take the position as center, search bidirectionally, and bind characters together if the dts between two characters is greater than zero. Keep searching until the dts is smaller than zero or no character left. Then, we get a candidate word. If this word contains

⁴ https://baike.baidu.com/

⁵ https://projects.iq.harvard.edu/cbdb



Figure 2: Flow diagram of second iteration.

exactly two characters, add it to lexicon. Otherwise, look up the dictionary and find all bigram-words within the candidate word. If no bigram-word exists, add the candidate word to lexicon; otherwise, add all bigram-words to lexicon, and if some of characters of the candidate word are not added to lexicon, add left characters to lexicon one by one (take single character as a word). Overlap is allowed in this step. For example, $C_1C_2C_3C_4C_5$ is a candidate word. C_1C_2 and C_2C_3 are bigram-words contained in the dictionary. Eventually, C_1C_2 , C_2C_3 , C_4 and C_5 will be added to lexicon.

Step 6: Repeat step 5 until the largest dts is smaller than zero or no character left.

Step 7: If there are characters left, they are added one by one to lexicon. For instance, $C_1C_2C_3C_4C_5C_6C_7C_8C_9C_{10}$ is a sub-sentence and it gets processed from step 1 to step 6. C₃, C₄ and C₁₀ are left characters. Then, C₃, C₄ and C₁₀ are added to lexicon.

Step 8: Repeat step 1 to step 7 until all texts in corpus get processed.

To make the process clear, the corresponding flow diagram is given in Figure 2. In step 4, we skip over bigram-words in dictionary so as to avoid introducing more ambiguity. For a Chinese character string $C_1C_2C_3$, both C_1C_2 and C_2C_3 can be found in the dictionary. Under such a circumstance, we have less chance to make a right choice with the dictionary alone. For the predominance of bigram-words, words containing more than two characters, which have less ambiguity, can be added to lexicon directly within certain limitation. With statistical information, bigramwords can be extracted in step 5 to guarantee a certain accuracy. The reason we make such rules in step 5 will be further explained in Section 5.4. Eventually, we build a specific lexicon for CCWS from the *Twenty-Five Histories*.

4.2 Word segmentation

Now that there is a customized lexicon with frequency of each word, maximum probability algorithm is used for word segmentation. w_i stands for a certain word and S stands for a sentence that contains n words. Ignore the relevance between words, and the probability of sentence S is defined as:

$$P(S) = P(w_1) \times P(w_2) \times \cdots \times P(w_n)$$

Take the case of maximum value of P(S) as the optimal result of word segmentation. The probability of a certain word is defined as:

$$P(w_i) = \frac{f(w_i)}{N}$$

 $f(w_i)$ refers to the frequency of word w_i , and N is the total words of the corpus. Dynamic programming is applied for reducing the computation.

5. Experiments

We have done five comparison experiments to prove the effectiveness of our approach. Out of the fact that Classical Chinese literature is mostly composed of monosyllables, sentences segmented into individual characters are considered as the baseline of CCWS in our experiments. Before illustrating experiment results, the design of other three experiments will be described below.

5.1 Normalized Pointwise Mutual Information

Bouma (2009) introduced the normalized pointwise mutual information (NPMI) for collocation extraction. For character C_1 and C_2 , the NPMI of them is defined as:

npmi(
$$C_1; C_2$$
) = $\frac{pmi(C_1; C_2)}{h(C_1; C_2)}$

PMI of C₁ and C₂ is defined as:

$$pmi(C_1; C_2) = log \frac{p(C_1, C_2)}{p(C_1)p(C_2)}$$

 $h(C_1;C_2)$ is the self-information, defined as:

$$h(C_1; C_2) = -\log p(C_1, C_2)$$

NPMI ranges from -1 to 1, resulting in -1 for never occurring together, 0 for independence, and 1 for complete co-occurrence. In this experiment, if the NPMI between two characters is greater than zero, they are bound together. During the word extraction, do a scan over the sentence; characters bound together are added as a word to lexicon; characters left are added one by one to lexicon. The lexicon we get is named after 'lexicon1'.

5.2 Difference of t-score

The definition of dts is given in Section 4. In this experiment, if the dts between two characters is greater than zero, they are bound together. The process of word extraction is the same as that of previous experiment. The lexicon is named after 'lexicon2'.

5.3 Simple integration of dts with encyclopedia

In this experiment, the procedures are all the same as those of our approach described in Section 4, except the step 5. The candidate words are added straight to lexicon without the subsequent operations. The lexicon is named after 'lexicon3'. For 'lexicon3', we have counted words that contain more than two characters and are not included in the dictionary, as listed in Table 3 below.

	3-gram	4-gram	5-gram	6-gram	7-gram
Count	28064	2215	35	1	0

Table 3: Count of N-gram

3-grams	4-grams	5-grams	6-grams
照英约	烧其船舰	位于大明殿	
尝与刘	尽其死力	衣画而裳绣	
尚宫曰	物之失所	降者数万人	
少敏慧	寻以母丧	积度及分秒	
尽其长	辰星犯天	为酷吏所陷	田本向支叶光
将安出	填星犯井	加上尊号曰	同李冈市政作
先帝崩	结为死党	莫大于不孝	
赤山湖	虽悔何追	德厚者流光	
÷	:	:	
:	:	:	

Table 4: Some samples of N-gram.



Figure 3: Precision, recall and F-Score of different approaches

Through our observation, a majority of these N-grams are frequent items instead of words, some of which are listed in Table 4. In order to enhance the validity of the lexicon, frequent items need to be processed ulteriorly. With further division of frequent items in our final solution, we get a lexicon named after 'lexicon4'.

5.4 Experiment results

There are 20 commonly used function words (those have little lexical meaning and express grammatical relationships with other words within a sentence) in Classical Chinese literature, as listed in Table 5. The function words in the four lexicons are given the highest weight to ensure they can be correctly segmented out of the sentence in most occasions.

而	何	乎	乃	其	且	然	若	所	圷
为	焉	也	以	矣	于	Ż	则	者	大

Table 5. Commonly	used function	words in	ancient texts

As stated in Section 3.2, we randomly select sample texts, consisting of 32689 characters, from the Twenty-Five Histories. The size of samples picked out from each historical book is proportional to the size of the book for keeping the balance. In addition, the sample texts cover every aspect of the content. Figure 3 illustrates the precision, recall, as well as F-Score of five different approaches. The x-axis of the three subgraphs stands for the number of books used in experiments. For instance, "4" means we used the first 4 historical books that were written in chronological order (Records of the Grand Historian, Book of Han, Book of Later Han, Records of the Three Kingdoms) to measure the performance. As for the reason that the performance is showed in accumulation, it can prove the stability and feasibility of our approach over literature of different eras (There are unique words and expressions in each dynasty, some of which only appear within a certain period of time). In other words, it's similar to one-size-fits-all approach, with which we don't have to create lexicon for each book.

Judging from the F-Score subgraph, it's obvious that our approach surpasses the others, and it can keep a high stable F-Score with the increment of test data. Over the whole test data, the F-Score of our approach is 76.84%. Recall subgraph shows that the drawback of NPMI results in the drop of the recall rate of 'lexicon1'. Without an explicit threshold, it's not possible to extract high quality multisyllabic words with NPMI. Besides, the conspicuous drop of recall rate of baseline method verifies the phenomenon that individual character is mostly used to represent a monosyllabic word in Archaic Chinese and the number of multi-syllables increases gradually as time goes on.

An example of CCWS over a specific sentence is listed in Table 6. Approach with 'lexicon3' or 'lexicon4' is able to identify the word '勃然作色' correctly for the combination with Baidu Baike. The word is a Chinese idiom of which the definition is included in Baidu Baike. However, with the statistical information alone, this 4-gram word is not extracted out of the corpus. As for the trigrams '太息曰' and '虽不肖', which are not included in Baidu Baike, only method with 'lexicon4' correctly segments them into two words respectively. If the trigram is not in Baidu Baike while a bigram, being part of it, is included, we tend to believe that the trigram is composed of a bigram-word as well as an individual character (correct segmentation is '太 息曰' and '虽不肖'). For low precision of bigram-word extraction, our method wrongly identifies bigram-words '事秦' and '今主' in the instance. In step 5 of our approach, we add overlapped distinct bigram-words to the lexicon, which results in the deficiency in precise extraction of bigram-word. We have attempted to pick only one out of two overlapped bigram-words according to their dts, but the final result is not improved. Increasing the accuracy of bigram-word extraction is our future work.

Original	于是韩王勃然作色,攘臂瞋目,按剑仰天太 息曰:"寡人虽不肖,必不能事秦。今主君诏 以赵王之教,敬奉社稷以从。"
Standard	于是 韩王 勃然作色 , 攘臂瞋目 , 按剑 仰天 太息 曰 : " 寡人 虽 不肖 , 必 不能 事 秦 。 今 主君 诏 以 赵王 之 教 , 敬奉 社稷 以 从 。 "
Lexicon1	于 是 韩 王勃 然 作色 , 攘 臂 瞋目 , 按剑 仰 天 太息曰 : " 寡人 虽不肖 , 必不能 事秦 。 今主 君 诏 以 赵王 之 教 , 敬奉 社稷 以 从 。 "
Lexicon2	于是 韩王 勃 然 作色 , 攘臂 瞋目 , 按剑 仰 天 太息曰 : " 寡人 虽不肖 , 必 不能 事秦 。 今主 君 诏 以 赵王 之 教 , 敬奉 社稷 以 从 。 "
Lexicon3	于是 韩王 勃然作色 , 攘臂 瞋目 , 按剑 仰天 太息曰 : " 寡人 虽不肖 , 必 不能 事秦 。 今 主 君 诏 以 赵王 之 教 , 敬奉 社稷 以 从 。 "
Lexicon4	于是 韩王 勃然作色 , 攘臂 瞋目 , 按剑 仰天 太息 曰 : " 寡人 虽 不肖 , 必 不能 事秦 。 今主 君 诏 以 赵王 之 教 , 敬奉 社稷 以 从 。)"

Table 6: CCWS example

6. Conclusion

In this paper we proposed a pragmatic approach combining the difference of t-score and encyclopedia (Baidu Baike) for CCWS over literature of different eras. The F-Score over the whole evaluation data set is 76.84%. To a certain degree, this approach releases researchers from labor intensive work, like constructing corpus, and makes it possible to build standard large-scale shareable marked-up corpora for the study of Classical Chinese literature. It also facilitates the research of Classical Chinese literature throughout the whole history instead of over a specific period of time or particular books. Besides, the scale of Baidu Baike we used in experiments is just two-thirds of the latest version, which means the performance of our approach can be better. With the Baidu Baike gradually being complete in terms of knowledge about Classical Chinese literature, the performance will be highly improved. This is another merit of our approach. After all, new content won't be generated for the obsoleteness of Classical Chinese.

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